

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Kyle W. HUKARI, et al.

SERIAL NO: New Divisional of 10/054,973

GAU:

FILED: Herewith

EXAMINER:

FOR: PROTECTIVE LAYERS FOR OPTICAL COATINGS

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. The references were cited on forms PTO-1449 in parent U.S. Application No. 10/054,973, allowed, which was filed January 25, 2002.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

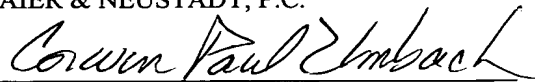
- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



Richard D. Kelly

Registration No. 27,757

Customer Number

**22850**

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

Corwin P. Umbach, Ph.D.

Registration No. 40,211

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. <b>251193US40DIV</b>		SERIAL NO. <b>New Divisional of 10/054,973</b>	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT <b>Kyle W. HUKARI, et al.</b>			
				FILING DATE <b>Herewith</b>		GROUP	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	4,716,086	12/29/87	GILLERY ET AL			
	AB	4,786,563	11/22/88	GILLERY ET AL			
	AC	4,790,922	12/13/88	HUFFER			
	AD	5,019,458	05/28/91	ELGAT ET AL			
	AE	6,261,693 B1	07/17/01	VEERASAMY			
	AF	6,303,226 B2	10/16/01	VEERASAMY			
	AG	6,303,225 B1	10/16/01	VEERASAMY			
	AH	4,995,895	02/26/91	GROTH ET AL			
	AI	5,190,807	03/02/93	KIMOCK ET AL			
	AJ	5846649	12/08/98	KNAPP ET AL.			
	AK	6,428,650	08/2002	CHUNG			
	AL	4,112,142	01/1978	SCHRODER ET AL			
	AM	4,702,955	10/1987	ALLRED ET AL			
	AN	6,172,812	01/2001	HAALAND ET AL			
	AO	6,101,085	08/2000	KAWAHARA ET AL			
	AP	6,267,636	07/2001	ONISHI ET AL			
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES                      NO		
	AQ	WO 01/02496 A2	11/01/01	WIPO			
	AR						
	AS						
	AT						
	AU						
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							